



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

Application Number	
Filing Date	
First Named Inventor	Weverka, Robert T.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	019930-000130US

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B1	Nishi et al., "Broad-passband-width optical filter for multi/demultiplexer using a diffraction grating and a retroreflector prism," <u>Electronics Letters</u> , 21(10):423-424 (9 May 1985).	
	B2	"Low Voltage Piezoelectric Stacks," from <u>Transducer Elements</u> , pp. 30-45, by Piezo Systems, Inc., Cambridge, MA 02139 (Catalog #31998).	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
60108554 v1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ROBERT T. WEVERKA et al.

Application No.: --Unknown--

Filed: --Herewith--

For: WAVELENGTH ROUTER

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER  
37 CFR §1.97 and §1.98

---

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This application is a division of 10/278,182, filed October 21, 2002, which is a continuation of 09/442,061, filed November 16, 1999, now U.S. Patent No. 6,501,877, which are hereby incorporated by reference in their entirety.

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner.

In accordance with 37 CFR §1.98(d), copies of the U.S. patent references listed on attached PTO/SB/08A, can be found in either 09/442,061 (now U.S. Patent No. 6,501,877) (Attorney Docket No. 019930-000100US) or pending Application No. 10/278,182 (Attorney Docket No. 019930-000120US). Copies of the two publications, B1 and B2 listed on attached PTO/SB/08B, are attached for the Examiner's convenience. At the Examiner's request, Applicants will furnish copies of all of the U.S. patent references cited on attached PTO/SB08A.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Respectfully submitted,

A handwritten signature in black ink that reads "David Slone". The signature is written in a cursive, flowing style.

David N. Slone  
Reg. No. 28,572

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
DNS:dd  
60108554 v1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Weverka, Robert T.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	019930-000130US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-6,501,877	12-31-2002	Weverka et al.	
	A2	US 6,337,935	01-08-2002	Ford et al.	
	A3	US-6,307,657	10-23-2001	Ford	
	A4	US-6,108,471	08-22-2000	Zhang et al.	
	A5	US-6,097,859	08-01-2000	Solgaard et al.	
	A6	US-6,097,519	08-01-2000	Ford et al.	
	A7	US-6,069,719	05-30-2000	Mizrahi	
	A8	US-5,999,672	12-07-1999	Hunter et al.	
	A9	US-5,960,133	09-28-1999	Tomlinson	
	A10	US-5,917,625	06-29-1999	Ogusu et al.	
	A11	US-5,903,687	05-11-1999	Young et al.	
	A12	US-5,748,811	05-05-1998	Amersfoort et al.	
	A13	US-5,481,631	01-02-1996	Cahill et al.	
	A14	US-5,414,540	05-09-1995	Patel et al.	
	A15	US-4,507,790	03-26-1985	Nettleton et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for